

Report Name: Depository Statistics - List of COs that are Non-Complied with SEBI Circular on DN database

This report reflects the companies which have not complied with SEBI Circular dated August 1, 2019

Indicators which are not directly related to the report but explained in the very context of the said reports.

a) Depository:

A Depository refers to a place or entity that holds financial securities in a dematerialized form. A bank, organization, or any institution holding and assisting in security trading is referred to as a depository. Depository accounts hold securities in the same way that bank accounts hold funds.

b) Demat account:

Demat account is used to hold the shares and securities of publicly traded companies in an electronic form. With a Demat account, you can hold a wide variety of investments such as bonds, equity shares, government securities, mutual funds, and exchange traded funds. Similar to a bank account, a Demat account is either credited or debited each time you buy or sell shares of a company.

c) Securities:

A security is a financial instrument, typically any financial asset that can be traded

This term broadly covers all traded financial assets and breaks such assets down into three primary categories:

1. Equity securities – which includes stocks
2. Debt securities – which includes bonds and banknotes
3. Derivatives – which includes options and futures

Indicators in the report:

a) ISIN:

ISIN code is a 12-character alphanumeric code allocated in accordance with ISO 6166 containing first two alpha-characters as ISIN prefix and last digit as check digit. First 2 digits as ISIN prefix denotes Country Code where the security is issued. Eg. ISINs allocated to securities issued in India start with 'IN'

b) Name of the company:

Company which have not complied as per SEBI guidelines